

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved
Office of Management
and Budget
No. 1215-0188
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.

For Official Use Only

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

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1. File Number U - <u>12353</u>	2. Fiscal Year Covered From: <u>1 / 1 / 2004</u> Through: <u>12 / 31 / 2004</u>
3. Name and address of person filing. Name <u>William F. SHANNON</u> P.O. Box, Bldg., Room No., if any _____ Street <u>320 COUNTY ROUTE 89</u> City <u>OSWEGO</u> State <u>NY</u> ZIP Code + 4 <u>13126</u>	4. Name, file number, and address of labor organization. Name <u>LABORERS' INTERNATIONAL UNION</u> Labor Organization File Number <u>000-131</u> P.O. Box, Building and Room Number, if any _____ Street <u>905 16TH ST. NW</u> City <u>WASHINGTON</u> State <u>DC</u> ZIP Code + 4 <u>20006</u>
5. Position in labor organization. <u>BUSINESS MANAGER - UPSTATE NY LABORERS DISTRICT COUNCIL</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name _____ Trade Name, if any: _____ P.O. Box, Bldg., Room No., if any _____ Street _____ City _____ State _____ ZIP Code + 4 _____	7.a. Nature of Interest, Transaction, or Income. _____ 7.b. Amount. _____

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

On

8-15-05
Date

315-263-3434
Telephone Number

Name of Person Filing

WILLIAM F. SHANNON

File Number U-

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any)

Name NYS LABORERS - EMPLOYERS COOPERATIVE TRUST

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street 18 CORPORATE WOOD BLVD.City ALBANYState NY ZIP Code + 4 12211

9. Business deals with:

- ☒ a. Labor Organization
- ☐ b. Trust
- ☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name _____

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street _____

City _____

State _____ ZIP Code + 4 _____

11.a. Nature of such dealing.

LABORERS EMPLOYERS COOPERATION AND
EDUCATION TRUST (LECEET) SECURES
PROTECTS AND JOBS, INCREASES UNION MARKET
SHARE, ADVERTISES THEIR SERVICES, DEVELOPS
A WORKFORCE AND ADVANCES SHARED
MARKET RELATED INTERESTS

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MAY 24, 2004 - ATTENDED LEGISLATIVE MEETING
AUGUST 12, 2004 - ATTENDED TRUSTEES MEETING
DECEMBER 3, 2004 - ATTENDED TRUSTEES MEETING

12.b. Amount.

\$1475.00

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name NWQ INVESTMENT MANAGEMENT COMPANY

Trade Name, if any: _____

P.O. Box, Bldg., Room No., if any _____

Street 2049 CENTURY PARK EAST, 16TH FLOORCity LOS ANGELESState CA ZIP Code + 4 90067

14.a. Nature of payment.

JANUARY 17, 2004 - DINNER - PAID BY NWQ
JANUARY 19, 2004 - GOLF FEES - PAID BY NWQ
DINNER - 60.57
GOLF - 56.00

14.b. Amount of payment

\$116.67

13.b. Is the Business an Employer ☐ or Consultant ☒ ?

August 15, 2005

U.S. Department of Labor
Employee Standards Administration
Office of Labor-Management Standards
200 Constitution Avenue, NW, Room N-5616
Washington, DC 20210

RE: Form LM-30 Filing for William Shannon
Labor Organization File Number 000-131

Dear Sir or Madam:

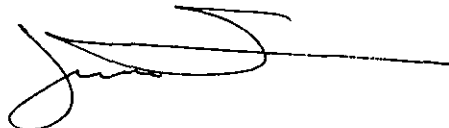
Enclosed is my Labor Organization Officer and Employee Report LM-30 for the 2004 reporting period. In filing the report, I have reviewed all of my available 2004 records as well as my recollection. I have provided my best estimate or an estimated price range for the value of the benefit received where I have no knowledge as to an exact amount.

As you know, it was not until March of this year that the Department of Labor initially announced its intention to provide additional guidance to the reporting community concerning the LM-30 report, to seek systemic compliance with these requirements, and to apply standards adopted in 2005 retroactively to 2004 as a base year in that effort. Further, the Department since that time has continued to issue and revise its compliance advice, including guidance regarding related benefit funds. My understanding is that the Department's guidance to date on LM-30 reporting is still changing and remains uncertain in various particulars.

It may be possible that a covered employer or business not listed on my LM-30 report for 2004 provided something of value as to which I have no documentary record nor any present specific recollection. In accordance with your guidance, it is my understanding that, in that circumstance, I am not required to take any further action.

This filing reflects my good faith effort to comply with the LM-30 reporting provisions and in doing so, I have relied upon the evolving guidance from the Department. The enclosed material represents my best recollection and estimate of all lawfully reported benefits that I received in 2004.

Sincerely,

A handwritten signature in black ink, appearing to be "James", with a long horizontal line extending to the right.